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**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA**

GEORGE COHEN, DAVID MOSS and  
ROXANNE XENAKIS, Individually and on  
Behalf of All Others Similarly Situated,  
  
Plaintiffs,

V.

SUNRUN INC., LYNN JURICH, ROBERT KOMIN, EDWARD FENSTER, JAMESON MCJUNKIN, GERALD RISK, STEVE VASSALLO, RICHARD WONG, CREDIT SUISSE SECURITIES (USA) LLC, GOLDMAN, SACHS & CO., MORGAN STANLEY & CO. LLC, MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED, RBC CAPITAL MARKETS, LLC, KEYBANC CAPITAL MARKETS INC., and SUNTRUST ROBINSON HUMPHREY,

Defendants.

Case No. 3:16-cv-02570

## CIVIL LOCAL RULE 3-15

### CERTIFICATION OF UNDERWRITER DEFENDANTS

Removed from the Superior Court of the State  
of California, San Mateo County  
Case No. CIV538304

1 Pursuant to Civil Local Rule 3-15, the undersigned certifies that the following listed  
2 persons, associations of persons, firms, partnerships, corporations (including parent corporations)  
3 or other entities (i) have a financial interest in the subject matter in controversy or in a party to the  
4 proceeding, or (ii) have a non-financial interest in that subject matter or in a party that could be  
5 substantially affected by the outcome of this proceeding:

6 Credit Suisse AG

7 Credit Suisse Group AG

8 Credit Suisse Group AG, Guernsey Branch

9 Credit Suisse Holdings (USA), Inc.

10 Credit Suisse (USA), Inc.

11 The Goldman Sachs Group, Inc.

12 KeyCorp

13 BAC North America Holding Company

14 NB Holdings Corporation

15 Bank of America Corporation

16 Morgan Stanley Domestic Holdings, Inc.

17 Morgan Stanley Capital Management, LLC

18 Morgan Stanley

19 Royal Bank of Canada

20 SunTrust Banks, Inc.

21 Dated: May 12, 2016

22 SHEARMAN & STERLING LLP

23  
24  
25 By: /s/ Patrick D. Robbins  
Patrick D. Robbins (Bar No. 152288)

26 Adam S. Hakki (*pro hac vice* to be submitted)

27 *Attorneys for the Underwriter Defendants*  
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